

Nov.-2022

Total No. of Questions : 10]

SEAT No. :

PA-2585

[Total No. of Pages : 4

[5932]-76

Fourth Year B.A. LL.B. (Semester - VII)

(Fourth Year of Five Years Law Course)

LO-0706 : INVESTMENT & SECURITIES LAW

(2017 Pattern)

Time : 3 Hours]

[Max. Marks : 80

Instructions to the candidates:

- 1) Answer any three questions from Part-A. Each question in Part-A is for 15 marks.*
- 2) Answer any two questions from Part-B. Each question in Part-B is for 10 marks.*
- 3) Answer question No. 10 from Part-C as directed. The question No. 10 is for 15 marks.*

PART - A

[3 × 15 = 45]

Q1) Write a critical note on role of SEBI in securities market.

Q2) Critically analyzes the role of Secondary Market Intermediaries.

Q3) Explain the Constitution of Securities Appellate Tribunal (SAT) and its Power and Functions.

Q4) Write a critical note on "Listing Agreement".

Q5) Write a detailed note on "the Foreign Exchange Management Act, 1999".

P.T.O.

PART - B

[2 × 10 = 20]

- Q6)* Write a critical analysis of "Takeover Code".
- Q7)* What are similarities and differences between Foreign direct investment & foreign institutional investors?
- Q8)* Explain salient features of the Companies Act, 2013 in relation to insider trading.
- Q9)* Explain Badla Contract, Future contracts and Options.

PART - C

[3 × 5 = 15]

Q10) Write short notes on any three :

- a) Derivatives
- b) Participatory note
- c) Trading, Spot delivery contract
- d) Provisions relating to IPO, FPO and Private placement
- e) Regulation of Foreign Portfolio Investor (FPI) in India.



Apr.-2022

Total No. of Questions : 10]

SEAT No. :

P4483

[Total No. of Pages : 1

[5845]-76

Fourth Year Course B.A.LL.B.

LO - 0706 - B : INVESTMENT AND SECURITIES LAW

(2017 Pattern) (Semester - VII)

Time : 3 Hours]

[Max. Marks : 80

Instructions to the candidates:

- 1) Answer any three questions from Part - A. Each question in Part - A is for 15 marks.
- 2) Answer any two questions from Part - B. Each question in Part - B is for 10 marks.
- 3) Answer question No. 10 from Part - C as directed. The question No. 10 is for 15 marks.

PART - A

[3×15=45]

- Q1) Elaborate the history of capital markets in India.
- Q2) Explain the norms of disclosure under different existing laws.
- Q3) Explain the role of Primary Market intermediaries.
- Q4) Elaborate in detail the law relating to the offer document.
- Q5) Write a critical note on role of SEBI in securities market.

PART - B

[2×10=20]

- Q6) Explain salient features of the Depositories Act, 1996.
- Q7) Explain Badla Contract, Future contracts and Options.
- Q8) Explain Investors Protection mechanism under various statutes.
- Q9) Write a critical analysis of "Takeover Code".

PART - C

[3×5=15]

Q10) Write short notes on any three:

- a) Listing Agreement.
- b) Participatory Note.
- c) Regulation of Foreign Institutional Investors (FII) in India.
- d) Derivatives.
- e) Qualified Institutional Placement under the SEBI (Issue of Capital and Disclosure Requirement) Regulations, 2018.

